

SAN JOAQUIN REGIONAL TRANSIT DISTRICT
BOARD OF DIRECTORS - AGENDA

THE BOARD OF DIRECTORS OF THE SAN JOAQUIN REGIONAL TRANSIT DISTRICT WILL HOLD A REGULAR MEETING AT 10:00 A.M. ON FRIDAY, DECEMBER 16, 2016, IN THE BOARDROOM OF SAN JOAQUIN REGIONAL TRANSIT DISTRICT'S DOWNTOWN TRANSIT CENTER, 421 EAST WEBER AVENUE, STOCKTON, CALIFORNIA.

ACCESSIBLE PUBLIC MEETINGS: San Joaquin Regional Transit District (RTD) will make all reasonable accommodations for persons with disabilities to participate in this meeting. Upon request to the Chief Executive Officer, RTD will provide agenda materials in appropriate alternative formats, or disability-related modification or accommodation, including auxiliary aids or services, to enable individuals with disabilities to participate in public meetings. Please send a written request, including your name, mailing address, phone number, and a brief description of the requested materials and/or preferred alternative format or auxiliary aid or service at least three workdays before the meeting. Requests should be sent to RTD, by mail at P.O. Box 201010, Stockton, CA 95201, by fax at (209) 948-8516, or by e-mail to BoardSupport@sanjoaquinRTD.com. *Para información en Español, por favor llame al (209) 943-1111.*

1. CALL MEETING TO ORDER
2. MOMENT OF SILENCE/REFLECTION
3. PLEDGE OF ALLEGIANCE TO THE FLAG
4. SAFETY ANNOUNCEMENT
5. ROLL CALL
6. SPECIAL PRESENTATIONS
 - A. EMPLOYEES OF THE MONTH
Special recognition to the Administration, Maintenance, and Transportation Employees of the Month
7. PUBLIC COMMENT

Each person who addresses the Board shall not make slanderous or profane remarks to or about any member of the Board, staff, or general public. Any person who utters loud, threatening, personal or abusive language, who is unduly repetitious or engages in extended discussion of irrelevancies, or who engages in any disorderly conduct which disrupts, disturbs or impedes the orderly conduct of any Board meeting shall, at the discretion of the presiding officer or a majority of the Board, be barred from further audience before the Board during that meeting. All public comments shall be limited to no more than THREE MINUTES. In addition, applause, loud noises, or any other outbursts or disruptions from the audience are not allowed during or after a public comment. At the discretion of the presiding officer, those who are in violation of this protocol may be removed from the meeting.

8. REPORTS
 - A. MV REPORT
 - B. CHIEF EXECUTIVE OFFICER UPDATE
 - C. STATE/FEDERAL LEGISLATIVE UPDATE

- D. FINANCIAL REPORTS
November Financial Reports provided to the Board
- 9. INFORMATION ITEMS
These reports are being provided for information only
- 10. CONSENT CALENDAR
 - A. RESOLUTION: APPROVING THE MINUTES OF THE OCTOBER 21, 2016, REGULAR BOARD OF DIRECTORS MEETING
Board approval of the October 21, 2016, Regular Board of Directors Meeting minutes
 - B. RESOLUTION: APPROVING THE 2017 BOARD OF DIRECTOR'S REGULAR MEETING SCHEDULE
Board approval of the 2017 Board of Directors Regular meeting schedule
 - C. RESOLUTION: APPROVING THE FY 2017 COMPREHENSIVE ANNUAL FINANCIAL REPORT (CAFR) AND FY 2017 SINGLE AUDIT REPORT
Board approval of the FY 2017 Comprehensive Annual Financial Report (CAFR) and FY 2017 Single Audit Report
- 11. ACTION ITEMS
 - A. RESOLUTION: AWARDING A CONTRACT TO A-Z BUS SALES FOR TWENTY-TWO LOW FLOOR VEHICLES IN A TOTAL CONTRACT AMOUNT NOT TO EXCEED \$3,230,000.00, IN ACCORDANCE WITH THE TERMS AND CONDITIONS OF THE STATE OF CALIFORNIA AND APPROVED COOPERATIVE PURCHASING AGREEMENT WITH MBTA/CALACT
Board approval to award a contract to A-Z Bus Sales for twenty-two low floor vehicles in a total contract amount not to exceed \$3,230,000.00, in accordance with the terms and conditions of the State of California and approved Cooperative Purchasing Agreement with MBTA/CalAct
- 12. QUESTIONS AND COMMENTS FROM DIRECTORS
- 13. CLOSED SESSION
 - A. LABOR NEGOTIATIONS
 - 1. Conference with Labor Negotiator
Agency Negotiator: Donna DeMartino, CEO
Employee Organization: Amalgamated Transit Union, Local 276
 - B. CONFERENCE WITH LEGAL COUNSEL—EXISTING LITIGATION
[Paragraph (1) of subdivision (d) of Government Code, Section 54956.9]:
San Joaquin Regional Transit District vs. DSS-2731 Myrtle LLC, et al., San Joaquin Superior Court Case No. 39-2010-00252684-CU-EI-STK
 - D. CONFERENCE WITH REAL PROPERTY NEGOTIATORS
Property: 1704 East Fremont Street, Stockton, CA
RTD Negotiator: Donna DeMartino, CEO and Wendell Krell, Director of Facilities
Negotiating Parties: Dr. Rami Georgies
Under Negotiation: Sale of Real Property, including price and terms of payment
- 14. ADJOURNMENT

NOTE: THE NEXT REGULARLY SCHEDULED BOARD MEETING WILL BE HELD ON **FRIDAY, JANUARY 20, 2016, AT 10:00 A.M.**

DATE POSTED: DECEMBER 9, 2016

**SPECIAL PRESENTATION: RECOGNITION OF ADMINISTRATION, MAINTENANCE,
AND TRANSPORTATION EMPLOYEES OF THE MONTH**

LEAD STAFF: DONNA DeMARTINO, CHIEF EXECUTIVE OFFICER

BACKGROUND:

To qualify as Employee of the Month (EOM), employees must meet the following basic requirements:

1. Has been an employee for at least six months
2. Has not been selected EOM for the previous six months
3. Has a perfect attendance record for the month
4. Does not have any disciplinary action pending or in effect

RTD selects EOMs based on the following criteria:

1. Takes initiative and accepts and carries out additional responsibilities beyond regular job assignments
2. Provides exceptional internal and external customer service by being courteous and helpful
3. Projects a positive image and has a helpful and cooperative attitude
4. Exemplifies trustworthy and ethical behavior
5. Promotes a positive work environment
6. Comes to work on time, on a daily basis

The Administrative EOMs are selected as follows:

1. A member of the management team nominates employees at a monthly meeting.
2. Nominees are selected as EOM when they receive at least a 2/3 majority of the votes cast by members of the management team.

A majority of their respective supervisors selects Maintenance and Transportation EOMs. There may be months where no EOM is selected for Administration, Maintenance, or Transportation.

Every EOM receives:

- EOM watch
- RTD coffee tumbler
- Certificate and acceptance photograph

RTD recognizes employees of the month by posting their photographs at each RTD facility in frames designed for EOM recognition and through a feature article with photographs in the employee newsletter, "As the Wheels Turn."

The EOM program provides that RTD selects the Employee of the Year (EOY) from the previous 12 months' EOMs. RTD offers EOYs the opportunity to represent their department/division at one of the conferences of the American Public Transportation Association (APTA).

REPORT: MV TRANSPORTATION, INC. REPORT/UPDATE

**LEAD STAFF: SUSANNA SANCHEZ
MV GENERAL MANAGER**

DISCUSSION:

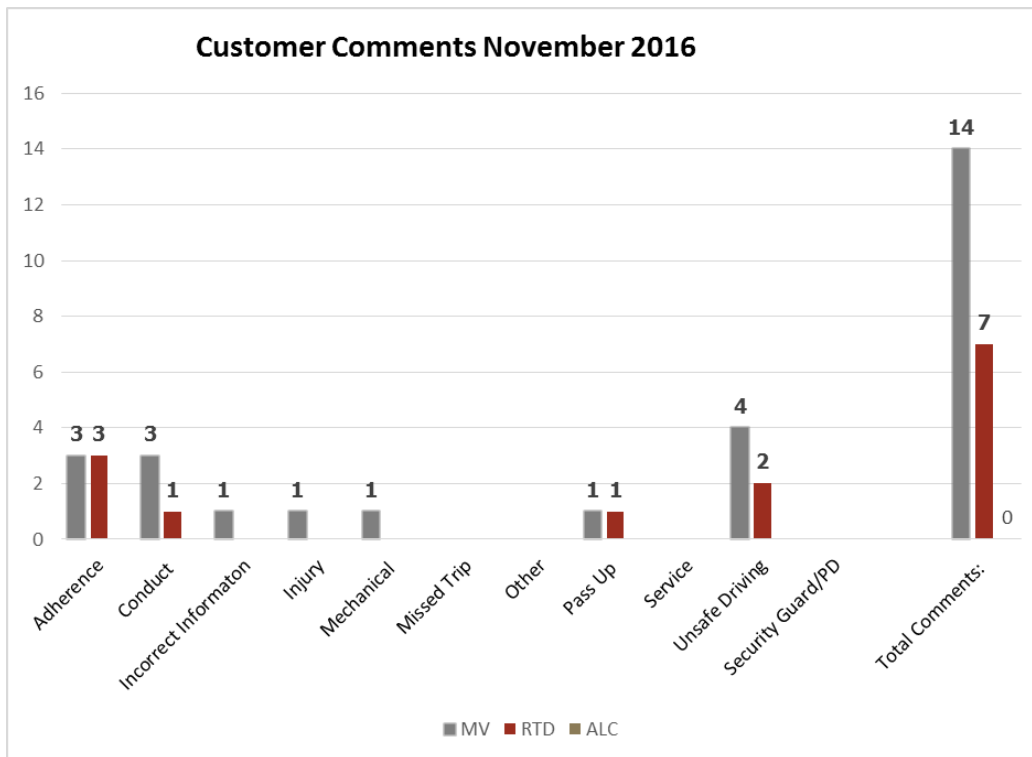
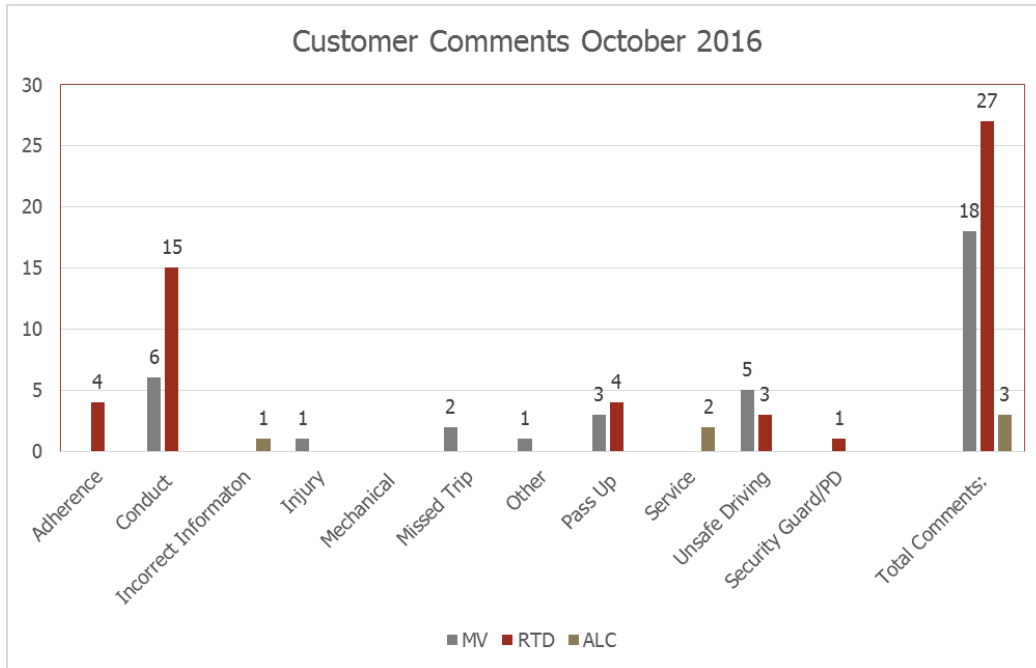
MV Transportation staff will provide an update.

REPORT:

CHIEF EXECUTIVE OFFICER UPDATE

LEAD STAFF:

DONNA DeMARTINO, CHIEF EXECUTIVE OFFICER



POLICE ACTIVITIES

Officer Ordaz worked 14 days; Officer Tran worked 11 days; Officer Butcher worked 21 days; Officer Jue worked 21 days. Their production statistics for August and September are listed below:

OCTOBER 2016

Type of Report Filed	Quantity	Type of Report	Quantity	Type of Report Filed	Quantity
Felony Arrests	3	Intoxication	2	Field Interviews	228
Warrants Arrests	6	Moving Citations	6	PC 640 Citations	85
Other Arrests	1	SMC Citations	3	Misdemeanor Arrests	12
Parking Citations	0	Traffic Citations	0	Abandoned Autos	0
Other Citations	0	Vehicles Towed	3	Self-Initiated Activity	0
Calls for Service	48	Weapons Seized	3		

Officer Ordaz worked 11 days; Officer Tran worked 13 days; Officer Butcher worked 21 days; Officer Jue worked 21 days. Their production statistics for August and September are listed below:

NOVEMBER

Type of Report Filed	Quantity	Type of Report	Quantity	Type of Report Filed	Quantity
Felony Arrests	1	Intoxication	2	Field Interviews	184
Warrants Arrests	8	Moving Citations	13	PC 640 Citations	78
Other Arrests	0	SMC Citations	3	Misdemeanor Arrests	18
Parking Citations	0	Traffic Citations	0	Abandoned Autos	0
Other Citations	2	Vehicles Towed	3	Self-Initiated Activity	0
Calls for Service	51	Weapons Seized	0		

MEETINGS

RTD participates in conferences and meetings of the following committees and organizations:

- **Air Resources Board:** Donna DeMartino, CEO
- **American Bus Benchmarking Group (ABBG):** Donna DeMartino, CEO; Gloria Salazar, Deputy CEO; Nate Knodt, Director of Planning; Damaris Galvan, Service Development Manager
- **American Public Transportation Association (APTA):** Donna DeMartino, CEO; Gloria Salazar, Deputy CEO
- **Bus Coalition:** Donna DeMartino, CEO; Max Vargas, Public Affairs Manager
- **California Transportation Association (CTA):** Donna DeMartino, CEO; Gloria Salazar, Deputy CEO; George Lorente, Grants Manager; Max Vargas, Public Affairs Manager
- **California Association for Coordinated Transportation (CALACT):** Donna DeMartino, CEO
- **CALSTART:** Donna DeMartino, CEO; George Lorente, Grants Manager; Max Vargas, Public Affairs Manager
- **Southern California Regional Transit Training Consortium (SCR TTC):** Donna DeMartino, CEO; Brad Menil, Superintendent—Operations
- **City of Stockton City Council:** Nate Knodt, Director of Planning; Max Vargas, Public Affairs Manager
- **City of Stockton Mayor’s Task Force for Person with Disabilities:** Mobility Analyst
- **City of Stockton—RTD Joint Action Committee (JAC):** Donna DeMartino, CEO; Nate Knodt, Director of Planning; Max Vargas, Public Affairs Manager
- **San Joaquin County Board of Supervisors:** Donna DeMartino, CEO; Nate Knodt, Director of Planning; Max Vargas, Public Affairs Manager
- **San Joaquin County Smoking & Tobacco Outreach/Prevention Program (STOPP):** Nancy Antonio, Safety and Risk Specialist

- **San Joaquin Council of Governments (SJCOG) Board of Directors:** Donna DeMartino, CEO; Gloria Salazar, Deputy CEO; Nate Knodt, Director of Planning; George Lorente, Grants Manager; Max Vargas, Public Affairs Manager
- **SJCOG Citizens Advisory Committee (CAC):** Nate Knodt, Director of Planning
- **SJCOG Interagency Transit Committee:** Nate Knodt, Director of Planning; George Lorente, Grants Manager; Max Vargas, Public Affairs Manager
- **SJCOG Management & Finance Committee:** Donna DeMartino, General CEO
- **SJCOG Project Delivery Committee:** Nate Knodt, Director of Planning; George Lorente, Grants Manager
- **SJCOG Technical Advisory Committee (TAC):** Nate Knodt, Director of Planning; George Lorente, Grants Manager; Max Vargas, Public Affairs Manager
- **San Joaquin Regional Rail Commission (SJRRC):** Donna DeMartino, CEO; Nate Knodt, Director of Planning; Max Vargas, Public Affairs Manager
- **Amtrak San Joaquin Joint Powers Authority (AMTRAK SJ-JPA):** Nate Knodt, Director of Planning
- **Business Team San Joaquin:** Nate Knodt, Director of Planning; Max Vargas, Public Affairs Manager
- **Greater Stockton Chamber of Commerce Business Education Alliance:** Max Vargas, Public Affairs Manager
- **Greater Stockton Chamber of Commerce Fresh Wellness Committee:** Nancy Antonio, Safety and Risk Specialist
- **San Joaquin Business Council:** Max Vargas, Public Affairs Manager
- **San Joaquin Partnership:** Max Vargas, Public Affairs Manager
- **Downtown Stockton Alliance (DS):** Nate Knodt, Director of Planning
- **University Neighborhood Renaissance Committee:** Nate Knodt, Director of Planning

EVENTS

Fall Festival

- RTD held its annual Fall Festival on October 28 at the Downtown Transit Center (DTC). The event featured a Haunted Bus, treats, food vendors, games, face painting, and other family-friendly activities. Participating organizations included Stockton Unified Mariachis, the World of Wonders Science Museum, the Central Valley Youth Symphony, the Mexican Heritage Center, the Stockton-San Joaquin Public Library, and many more. Event sponsors included KJOY, the Bank of Stockton, Community Medical Centers, Siegfried Engineering, Downtown Stockton Alliance, Beattie & Aghazarian, and the Tracy Press. Attendance was free for children 12 and under and only \$1 per teen or adult. A portion of the ticket proceeds was donated to the United Way of San Joaquin and the first 500 children to visit five community tables at the event received a free reusable trick-or-treat tote filled with goodies, treats, and surprises. Over 1,000 people attended!

Health & Wellness Fair

- On November 3, RTD held its annual Health and Safety Fair. Kaiser Permanente, Premier Access Dental, Superior Vision, AFLAC, Keenan & Associates, MassMutual, Waddle & Reed, and many more vendors provided helpful information, assessments, and promotional items.

Buses for Democracy

- On Election Day November 8, RTD operated "Buses for Democracy," a free Election Day program. This first-of-its-kind service in California was open all day to passengers on all of RTD's Metro Routes, Intercity Fixed Routes, Hopper Routes, and Dial-A-Ride Service. RTD was pleased to contribute to the very high voter turnout rate (nearly 70%) in San Joaquin County. RTD will continue collaborating with the San Joaquin County Registrar of Voters to help provide transit options for voters.

Unity Way Kick-Off

- On November 9, RTD hosted a Thanksgiving potluck to kick-off its United Way workplace giving campaign. United Way and Child Abuse Prevention Council staff discussed their missions and highlighted the impact of charitable contributions. This year, RTD's United Way Campaign Team has set a fundraising goal of \$15,000.

Veterans Day

- On November 11, in honor of Veterans Day, RTD provided Veterans and Active-Duty Service Members in San Joaquin County free rides on all of its service routes and buses.

Stuff the Bus

- On November 18-20, RTD and its transit and nonprofit partners collected over 28,000 pounds of food, including 640 turkeys, at the 18th Annual Stuff the Bus food drive. RTD worked with local transit agencies, Lodi Grapeline, Manteca Transit, and Escalon eTrans, in a simultaneous countywide food drive to collect food for local nonprofit agencies, including the Emergency Food Bank of Stockton, the Salvation Army of Lodi, Second Harvest Food Bank, and Escalon CARE. The Boy Scouts – Greater Yosemite Council participated in Stuff the Bus event in Stockton by dropping off food donations collected via the "Scouting for Food" program. The Boy Scouts went door-to-door on November 19 picking up nonperishable food donations, collecting over 3,400 pounds. Girl Scouts troops also participated by volunteering at Stuff the Bus locations. Event sponsors included Cumulus Broadcasting, the Stockton Record, Food 4 Less, and Big Boy Market. KWIN/KWNN (97.7/98.3) promoted the campaign with live on-site broadcasts, promotional appearances, on-air interviews, and public service announcements. The Record promoted the food drive with event advertising. Food 4 Less stores in Lodi, Manteca, and on Hammer Lane in Stockton, along with Big Boy Market in Escalon, hosted the three-day event, with each store donating gift cards for raffles prizes. San Joaquin Delta College's "Fierce" the Mustang mascot also provided on-site entertainment and outreach. Since 1999, RTD and its partners have now raised over 315,000 pounds of food to help those in need. This year, Stuff the Bus also collected coats for those in need, bringing in 64 coats. Together, this year's food donations will provide over 22,500 meals!

Walk & Run against Hunger

- On November 24, RTD employees participated in the 12th Annual Run and Walk Against Hunger on Thanksgiving morning to help raise awareness and funds for the Emergency Food Bank – Stockton/San Joaquin, California.

REPORT: STATE/FEDERAL LEGISLATIVE REPORT UPDATE

UPDATED BY: JORDAN & ASSOCIATES

STATE REPORT

Special Session Ends on November 30 without Addressing Transportation Funding Package

Governor Brown, Senate President pro tempore Kevin De Leon, and Assembly Speaker Anthony Rendon issued a letter on November 22 informing transportation stakeholders that there would not be a lame-duck session of the Legislature to approve a transportation funding deal in 2016.

A special session on transportation infrastructure had been planned to address the state's critical transportation infrastructure needs. Legislation for significant new funding for public transit introduced by Senate Transportation and Housing Committee Chair Jim Beall and Assembly Transportation Committee Chair Jim Frazier will now have to be addressed when the Legislature convenes in 2017.

Effect on RTD: The inability of the Legislature and the Governor to pass a transportation funding package means that new transit funding sources for RTD will not be immediately available in the coming year.

State Controller Releases Revised 2016-17 State Transit Assistance (STA) Allocation Estimates

The State Controller's Office released its revised 2016-17 STA Allocation Estimates on November 18. A drop in estimated revenue from \$315,169,000 in February 2016 to \$266,873,000 in November 2016 resulted in an estimated decline of \$42 million. The decline is due mostly to the decrease in oil prices.

The 2016-16 STA Allocation Estimates can be viewed here:

http://www.sco.ca.gov/Files-ARD-Payments/Transit/statetransitassistanceestimate_1617_november16.pdf

Effect on RTD: The continued decline in STA Allocations, due to decreasing oil prices, will result in RTD receiving lower revenues from this state funding source.

Air Resources Board (ARB) Holds Workshop on Framework for Development of Low Carbon Fuel Standard (LCFS) Verification Program

The ARB held a public workshop on October 24 on the LCFS for evaluating ways of monitoring, reporting, and verifying greenhouse gas emissions that will aid tracking the effectiveness of emission reduction strategies. The LCFS has relied on a reporting program built around ARB staff evaluations of carbon intensity. ARB is now looking to supplement staff evaluations with an independent, third-party verification system under the LCFS. The objective is accuracy of greenhouse gas reductions claims and protection against fraud. ARB is proposing mandatory third-party verification of LCFS fuel pathway carbon intensities, verification of credit generation, and verification of deficit generation to assure data quality.

A copy of the staff agenda can be viewed here:

https://www.arb.ca.gov/fuels/lcfs/lcfs_meetings/102416mtgnotice.pdf

A copy of the staff handout can be viewed here:

https://www.arb.ca.gov/fuels/lcfs/lcfs_meetings/workshoppresentation_102416.pdf

Effect on RTD: The verification of greenhouse gas emissions by a third party will likely result in more reporting requirements for RTD.

ARB Holds Public Meeting on Transportation Electrification

ARB hosted a meeting on November 14 to discuss barriers and solutions to transportation electrification for heavy-duty fleets. The discussion focused on progress towards transportation electrification goals outlined in SB 350, the Clean Energy and Pollution Reduction Act of 2015, with an opportunity for transit fleets and utilities to discuss concerns and experiences in deploying zero emission vehicles. SB 350 outlined clean air and clean energy and greenhouse reduction goals for 2030 and beyond.

In November 2015, ARB staff provided the assessment results of fuel cell electric vehicles, battery electric vehicles, hybrid vehicles, natural gas vehicles, and low NOx engines to the Board. The staff assessment found that battery electric vehicles were beginning to penetrate the medium and heavy-duty vehicle markets and fuel cell electric vehicles were primarily in demonstration stages. ARB is currently working with stakeholders to develop an Advanced Clean Transit proposal.

The California Transit Association (CTA) has been working with ARB to develop a performance-based standard that is technology neutral and that does not rely solely on zero emission technology. The meeting on November 14 largely focused on CTA's performance based approach.

The PowerPoint presentation provided at the November 14 meeting can be viewed here:
<https://www.arb.ca.gov/msprog/bus/actmeetings.htm>

Effect on RTD: The Advanced Clean Transit proposal currently relies on electrification, which does not provide alternatives for RTD in meeting the requirements of AB 350. CTA's efforts to allow other technologies under the transportation electrification requirements would provide more flexibility for RTD.

ARB Announces Grant Opportunity for Hybrid Truck and Bus voucher Incentive Project (HVIP), and Low NOx Engine Incentives

The grant solicitation announced by ARB on November 29 is open to federal, state, local government entities or agencies, and non-profit entities or companies with California heavy-duty vehicle expertise. Applications are due by December 29.

The HVIP and Low NOx Engine Incentives are designed to encourage the deployment of zero emission truck and buses, vehicles using engines that meet the optional NOx standard, and hybrid trucks and buses in California. HVIP provides vouchers for California purchases and lessees of up to \$95,000 for zero-emission trucks and buses, and up to \$30,000 for eligible hybrid trucks, and buses on a first-come, first-served basis. HVIP provides increased incentives for fleets located in or serving disadvantaged communities. These fleets qualify for vouchers up to \$110,000 for zero-emission trucks and buses.

The current funding available for FY 2016-17 is \$13 million for HVIP and \$23 million for Low NOx Engine Incentives for a total of \$36 million.

A link to the solicitation can be found here:
<https://www.arb.ca.gov/msprog/mailouts/msc1614/msc1614.pdf>

Effect on RTD: RTD benefits from the HVIP, since the Agency has qualified for vouchers in the past for its zero-emission buses. RTD will consider applying for this solicitation.

FEDERAL REPORT

Presidential Election

The surprise election of Donald Trump as the 45th President has resulted in a great deal of uncertainty in the transportation industry. President-elect Trump has begun the process of filling over 4,000 political appointments. Cabinet-level positions have begun to be filled and prepared for Senate consideration.

Vice President-elect Mike Pence took over the transition team efforts from New Jersey Governor Chris Christie two weeks ago. Pence declared that no registered lobbyists could be part of the transition team, and anyone wishing to serve in the Trump Administration must sign a pledge that they would refrain from lobbying any industry they would regulate for at least five years after leaving the position. Since several had already begun serving on the Trump transition team with Chris Christie as head, the declaration from Pence jettisoned several staff on the policy teams advising the transition.

Effect on RTD: The Trump transition process is informational.

Congress

There was little change in the look of Congress, despite predictions that the Democrats might take back the Senate. An overwhelming majority of Congress was re-elected on November 8, with only 12 incumbents in the 435-member House and two incumbents in the 100-member Senate defeated. Democrats gained nine seats in the House on Election Day, but still trail Republicans in that chamber by 241-194. The Senate Republican Majority decreased by two to 52-48.

The week of Thanksgiving, new members met in Washington for orientation, while current members made some organizational plans for the 115th Congress. Republican leadership in the House and Senate will remain the same in January, with House Speaker Paul Ryan (R-OH) and Senate Majority Leader Mitch McConnell (R-KY) keeping their positions. The retirement of Senate Minority Leader Harry Reid (D-NV) paved the way for Senator Chuck Schumer (D-NY) to take over that position in January. Meanwhile, House Minority Leader Nancy Pelosi (D-CA) will retain her post.

The election results have slowed most expected activity in a "lame duck" session of Congress. The current Continuing Resolution (CR) that is keeping the government running in the absence of a final FY 2017 budget will expire on December 9. President-elect Trump states he would prefer Congress extend the CR through March 2017 so that his Administration can have input in the final FY 2017 budget.

The 115th Congress will be sworn in January 4, 2017. Trump will not be sworn in until January 20. That has traditionally been a quiet time but next year will be different. House Majority Leader Kevin McCarthy has prepared a draft schedule calling for the House to be in session and holding votes five days a week from January 4 through February 17, except for the Martin Luther King federal holiday on January 16. This change is due to the Congressional Review Act (CRA) of 1996. This legislation allows Congress to overturn regulations issued by federal agencies through a "resolution of disapproval" that must be passed by the House and the Senate and then either signed by the President or enacted over his veto.

There is a unique opportunity at the start of the new Congress to reach back through the CRA at regulations submitted to Congress for review in the prior Congress. The period is defined as 60 legislative days prior to the end of the old Congress. That is going to be January 3, but due to the long recess in July and August and October-November, the reach back period for the CRA is unusually long. If Congress stays in session until its scheduled departure date of December 15, this would leave a reach back period for the next Congress to overturn regulations transmitted to Congress on or after May 30, 2016. This could rescind the Labor Department's overtime pay rule that was transmitted to Congress on May 23, as well as the DOT's proposed metropolitan planning organization (MPO) consolidation rule.

Effect on RTD: The results of the congressional elections are informational.

Earmarks

The House Republican Conference considered a proposed rule change that would lift the current ban on congressionally-directed spending on specific projects, referred to as earmarks. Sponsors of the change withdrew the proposal at the request of House Speaker Paul Ryan, who promised a vote on the matter in early 2017.

The Republican Conference supported the proposal to lift the ban on earmarks during an organizational meeting. Speaker Ryan intervened and requested a postponement, worrying about the appearance of restoring earmarks so close to an election full of anti-Washington sentiment.

The earmark ban was first instituted in 2011 and is not actually a law that was voted on by Congress and approved by the President. It is part of the rules of the House and Senate that are approved by the majority party at the start of each Congress. With Republicans continuing to control both chambers, the decision to restore earmarks can be made without Democratic input.

The proposal considered by House Republicans last week reportedly would have allowed for Congress to direct spending to specific projects to state and local governments, as well as federal agencies such as the Departments of Defense and Homeland Security, U.S. Army Corps of Engineers, and U.S. Bureau of Reclamation.

Prior to 2011, earmarks were the primary vehicles for rank-and-file members to bring home funding to their districts, while giving congressional leaders some incentives to convince wayward Members to vote for a bill in which they had concerns. Many in Washington point to the earmark ban as a reason for historic lack of production in Congress in recent years. The ban has done little to lower the federal deficit, since funding for many programs traditionally earmarked has been distributed by federal agencies in competitive grant programs.

Effect on RTD: The Republicans will have a vote early in 2017 to determine whether congressionally directed spending will be allowed under the rules of the House. Since the ban on earmarks, RTD has fared well through competitive grants administered by the DOT. As a result, bringing back earmarks may not help and could detract from RTD's ability to secure federal funding.

President-Elect Trump's Infrastructure Initiative

Trump has said that he would rebuild highways, bridges, tunnels, airports, schools, and hospitals. The details on how his administration would achieve this are not clear.

While there is still little detail so far, it appears that most of the Trump infrastructure plan involves providing tax credits to investors for public-private partnerships in which private companies build projects and receive the proceeds raised from the projects to repay the investments. This would only benefit the types of infrastructure capable of producing revenue, such as toll roads, airports, or private utilities.

Trump has also said that he would decrease the regulatory burden that slows down construction projects, but his plan does not provide details on this either. Critics of the Trump infrastructure proposal claim it is a giveaway to private investors constructing projects that they would have built even without tax breaks. The Trump campaign claims the proposal will yield up to \$1 trillion in infrastructure investment over 10 years.

During the campaign, Trump supported the idea of using revenue from bringing back American companies doing business based overseas, known as repatriation, to finance infrastructure improvements. American corporations have placed anywhere from \$2-3 trillion in untaxed profits outside the United States to avoid higher corporate tax rates. Taxing these profits in return for lower corporate tax rates has growing political consensus but it remains to be seen whether Congress will support its use on infrastructure.

The Trump infrastructure plan also calls for working with Congress to modernize airports and air traffic control systems, and reform the Federal Aviation Administration and the Transportation Security Administration. This could revitalize a proposal by House Transportation and Infrastructure Committee Chairman Bill Shuster (R-PA) to spin off air traffic control from the FAA to a private non-profit corporation.

The Trump infrastructure proposal can be viewed here:

<http://bit.ly/2gf9OUy>

Effect on RTD: President-elect Trump's support for infrastructure could result in additional funding for transit if corporate income is repatriated and then taxed and used to fund infrastructure.

DOT Secretary Announcement

Elaine Chao, secretary of Labor in the George W. Bush administration, was nominated for secretary of Transportation on November 30. Chao was also deputy secretary of Transportation in the George H. W. administration, and is the wife of Senate Majority Leader Mitch McConnell (R-KY).

Chao was Labor secretary in 2002 when Bush invoked the Taft-Hartley Act to obtain a court order ending an 11-day longshoreman lockout at 29 West Coast ports. That order came after Chao failed to mediate an agreement between the International Longshore and Warehouse Union and employers.

Chao would be a key player in the new administration's ambition to spend \$1 trillion in infrastructure over 10 years. While Trump has expressed interest in raising most of that money from private investors, he would need to get necessary legislation, including tax measures and potential appropriations, through a Congress that under President Obama was worried about debt and deficits. Handling of the administration's infrastructure spending will be closely watched for its effect on jobs.

Chao won confirmation by voice vote for the Labor position in 2001, winning praise from both sides of the aisle. Born in mainland China, Chao fled to Taiwan with her family before immigrating to the United States in 1961 at the age of eight. She would be the fourth nomination for the new president's cabinet. Chao currently serves on a number of nonprofit and corporate boards including the Institute of Politics; Harvard Kennedy School of Government; Ford's Theatre; and the American Action Forum.

Effect on RTD: The nomination of Elaine Chao could improve President-elect Trump's efforts to secure legislation through Congress for private sector investment in infrastructure. However, this initiative is unlikely to benefit RTD's capital project needs.

Budget/Appropriations

The House and Senate Appropriations Committees are finalizing the stopgap continuing appropriations, or CR. The current CR expires on December 9 and the new CR will extend until at least March 31, 2017, and possibly into May, since Senate Republicans are reportedly in favor of a longer extension. The CR extension is the only legislation that must be passed for the outgoing 114th Congress.

The extension of the CR into March would delay all of the DOT's discretionary grant programs, including TIGER, FASTLANE, and Buses and Bus Facilities Program by at least three months. Application notices for most of these programs cannot be released until a full-year appropriations bill is signed into law. As a result, funding announcements for DOT discretionary programs will not occur until late April or May.

Effect on RTD: The extension of the CR into next year will delay funding opportunity announcements for the FTA Buses and Bus Facilities Competitive Grant Program, as well as the TIGER Program. It will also delay federal funding apportionments for formula grant programs administered through the FTA.

FTA Seeks Applicants for Zero-emission Projects

The FTA announced on November 22 a \$2.75 million opportunity for nonprofits interested in creating and leading research, demonstration, testing and evaluation projects of zero emission and related technology for public transportation. The Zero Emission Research Opportunity (ZERO) supports the agency's green-technology programs by advancing non-polluting transit vehicles through team-led research and demonstration projects.

The program seeks up to three consortia to develop and manage project teams of public transit operators, industry vendors, suppliers, and others that will focus on increasing efficiencies and capabilities while lowering costs for transit fleets. Applications for funding requests must be submitted by February 21, 2017.

The announcement can be viewed here:

<https://www.gpo.gov/fdsys/pkg/FR-2016-11-22/pdf/2016-28069.pdf>

Effect on RTD: The goal of the ZERO program is to improve green-technology programs while decreasing costs for public transit, which will ultimately benefit RTD.

FTA Announces Selection of Mobility on Demand (MOD) Sandbox Recipients

The FTA announced the selection of 11 projects on October 13 that will receive \$7.9 million, that were not published in the *Federal Register* until November 10.

The MOD Sandbox is a new FTA research program that will demonstrate and evaluate innovative approaches to integrating emerging mobility solutions with the public transportation network.

The awarded projects can be viewed here:

<https://www.transit.dot.gov/research-innovation/fiscal-year-2016-mobility-demand-mod-sandbox-program-projects>

Effect on RTD: The MOD Sandbox Program could help connect RTD's transit service with the Altamont Commuter Express (ACE) in order to increase regional transit use. The program could also help provide first/last mile service, rides to park-and-ride lots, and provide access to transit for riders who have limited transit options.

FTA Announces Rides to Wellness Demonstration and Innovation Coordinated Access and Mobility Grants Competitive Program for FY 2016

FTA published the list of grant recipients for the Rides to Wellness Program in the *Federal Register* on November 10. More than \$7 million in federal funds will provide assistance for promising, replicable public transportation healthcare access solutions that support increased access to care, improved health outcomes, and reduced healthcare costs. A Notice of Funding Opportunity was announced on March 29.

A list of recipients can be viewed here:

<https://www.transit.dot.gov/funding/grants/fy-2016-rides-wellness-demonstration-and-innovative-coordinated-access-and-mobility>

Effect on RTD: RTD has expressed interested in this program, and should consider applying in the FY 2017 grant process.

FTA Publishes Notice of Transit Advisory Committee for safety (TRACS) Meeting

FTA Announced a public meeting of TRACS that will be held on November 29 and 30. TRACS is a Federal Advisory Committee created to provide information and recommendations to the DOT Secretary regarding the safety of public transportation.

The *Federal Register* notice can be viewed here:

<https://www.gpo.gov/fdsys/pkg/FR-2016-11-01/pdf/2016-26317.pdf>

Effect on RTD: The notice of the TRACS Meeting is informational. Most of FTA's efforts have focused on rail transit safety.

INFORMATION ITEM: FINANCIAL REPORT

LEAD STAFF: VIRGINIA ALCAYDE, DIRECTOR OF FINANCE

DISCUSSION:

Finance staff will provide an update on the following:

- Revenue and Expense Summary
- Graph (Total YTD Expenses vs. Annual Budget)
- Graph (YTD Expenses Composition)

**San Joaquin RTD
 Revenue & Expense Summary
 Year to Date Comparison to Annual Budget
 For the Period Ending November 30, 2016
 (41.67% of Fiscal Year)**

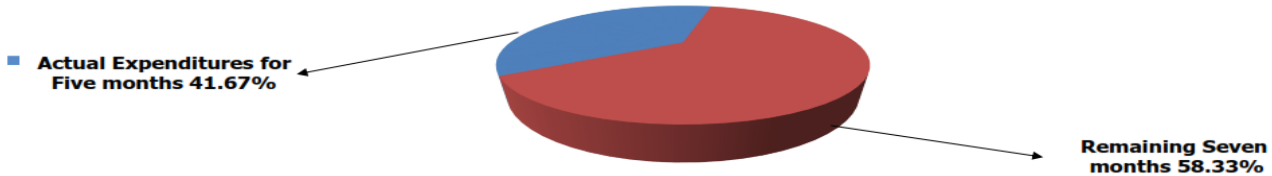
	Annual Budget	Year to Date Amount (Accrual Basis)	Annual Budget Balance	% of YTD Amount vs. Annual Budget	Year to Date Amount (Cash Basis)
REVENUES					
PASSENGER FARES & SPECIAL FARES	4,430,792	1,675,319	2,755,474	37.81%	1,675,319
NON-TRANSPORTATION REVENUES	149,719	52,448	97,271	35.03%	52,448
FEDERAL GRANTS (5307)	4,675,445	1,948,102	2,727,343	41.67%	-
OTHER FEDERAL GRANTS	379,079	157,950	221,129	41.67%	-
PROPERTY TAXES	866,185	360,910	505,275	41.67%	2,921
TDA - STA	3,000,000	961,626	2,038,374	32.05%	-
TDA - LTF	14,706,057	6,226,920	8,479,137	42.34%	4,200,000
OTHER LOCAL GRANTS OPERATING	1,254,613	-	1,254,613	0.00%	-
LCTOP (State funding) low carbon	221,773	92,405	129,368	41.67%	221,773
MEASURE K	4,946,318	1,746,711	3,199,607	35.31%	2,221,055
TOTAL REVENUES	34,629,981	13,222,390	21,407,591	38.18%	8,373,514
EXPENSES					
WAGES AND FRINGE BENEFITS	18,866,962	7,129,167	11,737,796	37.79%	6,672,982
SERVICES	2,886,148	885,893	2,000,255	30.69%	493,575
MATERIALS & SUPPLIES	2,806,816	981,245	1,825,571	34.96%	885,029
UTILITIES	729,330	325,469	403,861	44.63%	279,208
INSURANCE	806,879	273,919	532,960	33.95%	-
TAXES	190,051	74,298	115,753	39.09%	74,298
PURCHASED TRANSPORTATION	7,073,500	2,832,297	4,241,203	40.04%	184,203
MISCELLANEOUS EXPENSES	1,270,295	474,155	796,140	37.33%	463,031
TOTAL EXPENSES	34,629,981	12,976,443	21,653,538	37.47%	9,052,328
Net Revenue (Deficit)	0	245,947.40			(678,813)

Funds Used to bridge the cash shortfall:

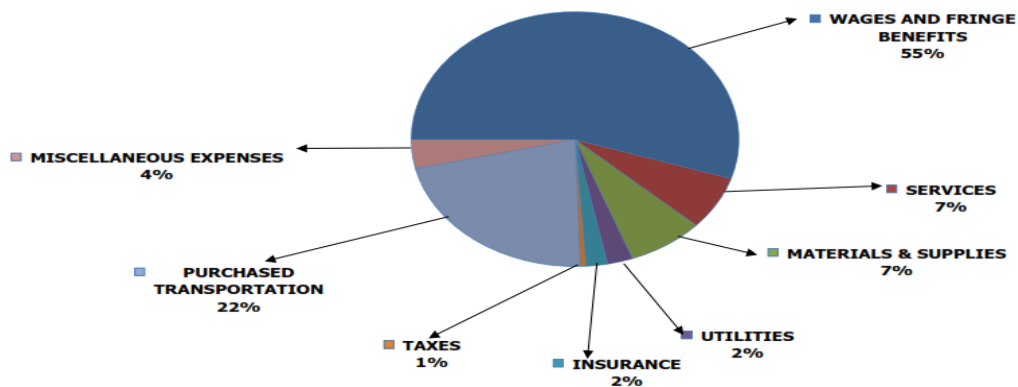
LOC

(678,813)
 Page 1

Total YTD Expenses as of November 30, 2016 (41.67% of Fiscal Year)



FY2017 Expenses as of November 30, 2016 (\$13,152,390)



MINUTES OF THE REGULAR MEETING OF THE BOARD OF DIRECTORS
OF THE SAN JOAQUIN REGIONAL TRANSIT DISTRICT
FRIDAY, OCTOBER 21, 2016

The Board of Directors of the San Joaquin Regional Transit District (RTD) held a Regular Meeting at 10:00 a.m. on Friday, October 21, 2016, in the Boardroom of RTD's Downtown Transit Center, 421 East Weber Avenue, Stockton, California.

1. CALL MEETING TO ORDER Chair Michael Restuccia called the meeting to order at 10:04 a.m.
2. MOMENT OF SILENCE/REFLECTION
3. PLEDGE OF ALLEGIANCE TO THE FLAG
4. SAFETY ANNOUNCEMENT
5. ROLL CALL Present: Les Fong
Balwinder T. Singh
Joni Bauer
Michael Restuccia
Gary Giovanetti

Absent: None

Staff Present

Donna DeMartino, Chief Executive Officer
Gloria Salazar, Assistant General Manager/CFO
Thomas Drozt, Chief Operating Officer
Darla Smith, Facilities Manager
Kent Bradbury, Legal Counsel-Employee and Labor Relations
Al Hoslett, RTD Legal Counsel
Norman Tuitavuki, Director of Operations
Max Vargas, Public Affairs Manager
Brooke Johnson, Consultant
Merab Talamantes, Executive and Board Support Analyst
Vic Picinich, Executive and Board Support Analyst
Nancy Braxton, Executive and Board Support Analyst
Ivan Otero, IT Analyst
Sharon Miller, Director of Procurement
Brandon Vales, Contracts Analyst
Terry Williams, Marketing Manager
Nate Knodt, Service Development Manager
George Lorente, Grants Manager
Dero In, Grants Specialist
Matthew Taia, Grants Analyst
Nancy Braxton, Executive and Board Support Analyst
Max Cao, Marketing Specialist
Damaris Galvan, Service Development Manager
Angela Chang, Marketing-Graphic Design Analyst
Juvenal Gonzalez, Mechanic A
Cameron Isaacson, Superintendent-Operations
Denoris Motley, Transportation Lead Supervisor
Ravi Sharma, Finance Senior Specialist

Virginia Alcayde, Finance Manager
Priya Ram, Senior Accountant
Syed Bukhari, Senior Accountant
Holly Cosio, Accountant I
Serena Bonzo, Accountant I
Qin Huang, Senior Accountant
Stephanie Hayne, Senior Accountant
Elisha Taasin, Marketing Intern
Moorea Warren, Customer Engagement Manager
Elizabeth Fernandez, Service Development Analyst
Majorie Holman, Coach Operator
Julian Alfarez, Coach Operator
Kenny Ross, Coach Operator

Members of the Public Who Indicated They Were Present

Jim Paice	Vesbie Kelley
Alan Wagner	Bob Rumb
Vincent Contino	Claudia Hudson
J.R. Cornel	Mayra Zarate
Irvine D. Lucas	Mark Cain
Carmen Faria	Arthur Sanders
Juanita Castro	

6. SPECIAL PRESENTATIONS

A. EMPLOYEES OF THE MONTH

Special recognition was given to Coach Operator Marjorie Holman, Transportation employee of the month; Coach Operator Julian Alfarez, Transportation employee of the month; Coach Operator Kenny Ross, Transportation employee of the month; Marketing Senior Specialist Maximilian Cao, Administration employee of the month for August.

7. PUBLIC COMMENT
No public comments

8. REPORTS

A. MV REPORT

On behalf of General Manager Susanna Sanchez, MV Operations Manager Jim Paice reported on August and September events, which included monthly safety meetings on distractions and adverse weather conditions, which emphasized an awareness of surroundings while driving and walking.

B. CHIEF EXECUTIVE OFFICER REPORT

- RTD's Marketing Department created a video, "Your Regional Transportation Provider" to demonstrate why RTD is important to the communities it serves [video shown]. This video gives an accurate portrayal of the riders who depend on our services. Without our service, they would not be able to make it to places such as school, work, and medical appointments.

- RTD organized a Regional Transportation Tour to introduce its new Chief Operating Officer Thomas Drozt to RTD's staff, system, and routes. This tour will be repeated in early 2017 to provide Stockton City Council members, the San Joaquin County Board of Supervisors, and other elected officials in the County the same opportunity to witness RTD's value to the community firsthand. .["Your Regional Transportation Provider" VIDEO SHOWING]. The video shows that Lodi provides about two-hundred twenty thousand trips a year and RTD provides an additional one-hundred twenty thousand trips to the people of Lodi. Manteca provides seventy thousand trips whereas RTD provides an additional fifty thousand trips. The interconnected services that RTD provides are critically important.
- Public Affairs Manager Max Vargas was asked to speak about RTD's award-winning literacy program, Books on Buses. This program functions like a mobile library. RTD placed book racks on buses and stocked them with books for children to take, read, and bring back. This year RTD partnered with Barnes and Noble for a book drive and collected almost 900 books. RTD is currently looking at how to expand our push for book drives and ways to develop an adopt-a-bus sponsorship system that will sustain and grow the program. RTD currently has eleven buses equipped with books and our goal is to grow that to fifteen. If anyone has gently used books, they can bring them to the DTC and drop them off at the Customer Information Center.
- RTD has a new Election Day service that we have named, "Buses for Democracy." Public Affairs Manager is working with the County Registrar of Voters to provide a free service on Election Day. RTD is hoping to develop a system where voters will receive a bus pass with their ballots and voting guides for use on Election Day.
- Another community event RTD is very proud of is the RTD Fall Festival that is scheduled to take place on October 28, from 3-6 p.m. Marketing Manager Terry Williams was asked to elaborate on this event. Fall Festival is an event hosted by RTD and our sponsors to benefit children who live in the local surrounding community that may not have an opportunity for a safe, fun, and wholesome trick-or-treating experience.
- This year RTD will host its 18th annual "Stuff the Bus" food drive that is scheduled to take place on November 18-20. RTD along with Lodi Grapevine, Manteca Transit, Escalon E-Transit, and the Boy Scouts of America will collect food for the Stockton Emergency Food Bank, Salvation Army, Second Harvest Food Bank, and Escalon Care. This year RTD is also collecting coats to give out along with food.
- RTD has a brand new commercial currently on social media. Marketing Manager Terry Williams was asked to elaborate on the commercial. On November 8, our new commercial will air on Comcast Cable Television, Good Day Sacramento, at the Regal Cinema Theaters, at the Bob Hope Theater, and at Stockton Heat hockey games. The commercial helps introduce people to RTD and lets them know that riding the bus is a safe place to be. Let's start the commercial. [COMMERCIAL VIEWING]
- RTD employees held the 3rd Annual Laurie Brown Memorial Golf Tournament.

Superintendent of Operations Cameron Isaacson provided an update. This tournament started many years ago as the San Joaquin RTD Family and Friends Golf Tournament. All the proceeds go to The Community Center for the Blind and the Disabled American Veterans of San Joaquin County.

- Today is the grand opening of the "Bistro to Go" at the RTC. RTD is very proud to offer good, healthy food options for our employees. When the RTC project first started, Chair Restuccia was concerned about how employees would have access to food; now our very own bistro offers healthy food choices.

C. STATE/FEDERAL LEGISLATIVE REPORT UPDATE
The Chief Executive Officer provided a written report to the Directors in the Board package.

D. FINANCIAL REPORTS
Finance Manager provided a written report to the Directors in the Board package.

9. INFORMATION ITEMS

A. QUARTERLY UPDATE OF CONTRACTS AWARDED JULY-SEPTEMBER 2016
Director of Procurement Sharon Miller presented the quarterly update of contracts to the Board.

Chair Restuccia asked if Proterra, Inc. manufactured any of its buses here in California because it is listed on the spreadsheet as being located in South Carolina. Miller stated that she only has contact with the office in South Carolina but Proterra does have a location in California. Chair Restuccia suggested that Miller inquire as to the location of its manufacturing facility, because if it is manufacturing in California, it would be nice to show that RTD is contributing to jobs in California.

10. CONSENT CALENDAR

A. RESOLUTION NO. 5674
RESOLUTION APPROVING MINUTES OF THE AUGUST 15, 2016, SPECIAL BOARD OF DIRECTORS MEETING

ACTION: MOTION: DIRECTOR GIOVANETTI SECOND: VICE CHAIR FONG

Roll Call:

AYES: Bauer, Fong, Giovanetti, Singh, Restuccia

NAYES: ABSTAIN: ABSENT:

B. RESOLUTION NO. 5675
RESOLUTION APPROVING MINUTES OF THE AUGUST 19, 2016, REGULAR BOARD OF DIRECTORS MEETING

ACTION: MOTION: DIRECTOR GIOVANETTI SECOND: VICE CHAIR FONG

Roll Call:

AYES: Bauer, Fong, Giovanetti, Singh, Restuccia

NAYES: ABSTAIN: ABSENT:

C. RESOLUTION NO. 5676
RESOLUTION APPROVING COMPENSATION STUDY AND RECOMMENDED CHANGES TO RTD NON-REPRESENTED SALARY STRUCTURE, WAGE RANGES, AND POSITION CLASSIFICATIONS

ACTION: MOTION: DIRECTOR GIOVANETTI SECOND: VICE CHAIR FONG

Roll Call:
AYES: Bauer, Fong, Giovanetti, Singh, Restuccia NAYES: ABSTAIN: ABSENT:

11. ACTION ITEMS

- A. RESOLUTION NO. 5677
RESOLUTION APPROVING A CHANGE TO THE DATE OF THE FRIDAY, NOVEMBER 18, 2016,
REGULAR BOARD MEETING TO FRIDAY, DECEMBER 16, 2016

ACTION: MOTION: DIRECTOR FONG SECOND: DIRECTOR SINGH
Roll Call:
AYES: Bauer, Fong, Giovanetti, Singh, Restuccia NAYES: ABSTAIN: ABSENT:

- B. RESOLUTION NO. 5678
RESOLUTION AUTHORIZING THE FILING OF THE FY 2017 LOCAL TRANSPORTATION
FUND (LTF) CLAIM

ACTION: MOTION: DIRECTOR SINGH SECOND: VICE-CHAIR FONG
Roll Call:
AYES: Bauer, Fong, Giovanetti, Singh, Restuccia NAYES: ABSTAIN: ABSENT:

- C. RESOLUTION NO. 5679
RESOLUTION APPROVING A RECOMMENDATION TO BEGIN A 401(a) DEFINED CONTRIBUTION
RETIREMENT PLAN FOR NON-REPRESENTED EMPLOYEES

Legal Counsel Kent Bradbury informed the Board that RTD is proposing to move a portion of the non-represented, non-vested employees into a separate 401(a) personal investment retirement or retirement savings plan. The number of employees that would be moved over into the new plan is about 25 employees. This would also affect all future non-represented employees that are hired by RTD. RTD needs to make sure the changes would not negatively impact the current defined benefit pension plan.

Alan Wagner, Vince Contino, and Claudia Hudson expressed concerns regarding the guarantee of funding for the current retirement plan and accuracy of the actuarial reports.

Director Singh stated that he would like the record to show the discussion and questions posed by Directors and the Union and asked if the reports requested by the Union had been provided. Legal Counsel stated that the records were, in fact, provided and that RTD had not withheld any requested information.

ACTION: MOTION: VICE-CHAIR FONG SECOND: DIRECTOR SINGH
Roll Call:
AYES: Bauer, Fong, Giovanetti, Singh, Restuccia NAYES: ABSTAIN: ABSENT:

- D. RESOLUTION NO. 5668
RESOLUTION AWARDDING A FIVE-YEAR CONTRACT TO PENNINO MANAGEMENT GROUP
FOR ON-CALL PROJECT MANAGER SERVICES FOR A TOTAL CONTRACT AMOUNT NOT
TO EXCEED \$550,000.00

ACTION: MOTION: VICE-CHAIR FONG SECOND: DIRECTOR SINGH
Roll Call:

AYES: Bauer, Fong, Giovanetti, Singh, Restuccia NAYES: ABSTAIN: ABSENT:

E. RESOLUTION NO. 5669
RESOLUTION APPROVING SAN JOAQUIN REGIONAL TRANSIT DISTRICT'S (RTD)
DISADVANTAGED BUSINESS ENTERPRISE (DBE) OVERALL GOAL OF 2.7% FOR FEDERAL
FISCAL YEARS (FFY) 2017, 2018, AND 2019

ACTION: MOTION: VICE-CHAIR FONG SECOND: DIRECTOR SINGH
Roll Call:
AYES: Bauer, Fong, Giovanetti, Singh, Restuccia NAYES: ABSTAIN: ABSENT

F. RESOLUTION NO. 5670
RESOLUTION AWARDDING A CONTRACT TO YORK RISK SERVICES GROUP, INC. FOR WORKERS
COMPENSATION CLAIMS THIRD-PARTY ADMINISTRATION (TPA) SERVICES FOR A THREE-
YEAR BASE TERM, PLUS TWO ONE-YEAR OPTIONS, IN A TOTAL CONTRACT AMOUNT NOT TO
EXCEED \$200,947.00

ACTION: MOTION: DIRECTOR GIOVANETTI SECOND: DIRECTOR BAUER
Roll Call:
AYES: Bauer, Fong, Giovanetti, Singh, Restuccia NAYES: ABSTAIN: ABSENT

G. RESOLUTION NO. 5671
RESOLUTION AUTHORIZING THE EXECUTION OF THE AGREEMENT FOR THE PUBLIC BENEFIT
GRANT PROGRAM

ACTION: MOTION: VICE-CHAIR FONG SECOND: DIRECTOR BAUER
Roll Call:
AYES: Bauer, Fong, Giovanetti, Singh, Restuccia NAYES: ABSTAIN: ABSENT

H. RESOLUTION NO. 5672
RESOLUTION AUTHORIZING THE EXECUTION OF A MASTER AGREEMENT AND PROGRAM
SUPPLEMENTS FOR STATE-FUNDED TRANSIT PROJECTS

ACTION: MOTION: DIRECTOR GIOVANETTI SECOND: DIRECTOR SINGH
Roll Call:
AYES: Bauer, Fong, Giovanetti, Singh, Restuccia NAYES: ABSTAIN: ABSENT

I. RESOLUTION NO. 5673
RESOLUTION APPROVING THE REVISED RETIREMENT BOARD BYLAWS BASED ON COMMENTS
RECEIVED FROM THE RTD RETIREMENT BOARD MEMBERS

ACTION: MOTION: DIRECTOR GIOVANETTI SECOND: VICE-CHAIR FONG
Roll Call:
AYES: Bauer, Fong, Giovanetti, Singh, Restuccia NAYES: ABSTAIN: ABSENT

12. QUESTIONS AND COMMENTS FROM DIRECTORS
No questions or comments from the Directors

13. CLOSED SESSION
Chair Restuccia announced that there would be no closed session.

14. ADJOURNMENT
Chair Restuccia adjourned the meeting at 12:21p.m.

RESOLUTION NO:
DATED: DECEMBER 16, 2016

RESOLUTION TO APPROVE THE BOARD MINUTES OF THE
REGULAR MEETING OF OCTOBER 21, 2016

RESOLVED AND ORDERED by the Board of Directors of the San Joaquin
Regional Transit District that the minutes of the Regular Meeting of October 21, 2016, be approved.

RECOMMENDATION: **APPROVE THE 2017 BOARD OF DIRECTOR'S MEETING SCHEDULE**

LEAD STAFF: **DONNA DeMARTINO, CHIEF EXECUTIVE OFFICER**

FINANCIAL IMPACT: **NONE**

BACKGROUND:

The Board of Directors monthly meetings are usually scheduled on the third Friday of every month. The meeting dates may change due to conference schedule conflicts and other considerations.

DISCUSSION:

For calendar year 2017, staff recommends that the monthly meetings be held on the third Friday of every month to accommodate the schedule of most of the Board members. Similar to previous years and consistent with the practice of most other public agencies, staff is proposing that the November and December Board meetings be combined into one meeting.

2017 BOARD OF DIRECTORS MEETING SCHEDULE
Friday, January 20, 2017
Friday, February 17, 2017
Friday, March 17, 2017
Friday, April 14, 2017
Friday, May 19, 2017
Friday, June 23, 2017
Friday, July 21, 2017
Friday, August 18, 2017
Friday, September 15, 2017
Friday, October 20, 2017
Friday, November 17, 2017

RESOLUTION NO.
DATED: DECEMBER 16, 2016

RESOLUTION TO APPROVE THE 2017 BOARD OF DIRECTORS
REGULAR MEETING SCHEDULE

WHEREAS, the Board of Directors monthly meetings are regularly scheduled on the third Friday of every month; and

WHEREAS, the recommended schedule considers the availability of the Board of Directors; and

NOW, THEREFORE, BE IT RESOLVED that the following 2017 meeting schedule be approved by the Board of Directors:

2017 BOARD OF DIRECTORS MEETING SCHEDULE
Friday, January 20, 2017
Friday, February 17, 2017
Friday, March 17, 2017
Friday, April 14, 2017
Friday, May 19, 2017
Friday, June 23, 2017
Friday, July 21, 2017
Friday, August 18, 2017
Friday, September 15, 2017
Friday, October 20, 2017
Friday, November 17, 2017

RECOMMENDATION: **APPROVE THE FY 2016 COMPREHENSIVE ANNUAL FINANCIAL REPORT (CAFR) AND FY 2016 SINGLE AUDIT REPORT**

LEAD STAFF: **FINANCE AND AUDIT COMMITTEE
DIRECTOR FONG
DIRECTOR BAUER**

FINANCIAL IMPACT: **NONE**

BACKGROUND:

The Finance and Audit Committee met on Friday, December 2, 2016, to review the FY 2016 Comprehensive Annual Financial Report (CAFR) and FY 2016 Single Audit. Those present were Director Les Fong, Director Joni Bauer, Director of Finance Virginia Alcaide, and Finance Supervisor Ravi Sharma.

Based on review and approval of the audit report on December 2, 2016, the Finance and Audit Committee recommends acceptance of the FY 2016 Comprehensive Annual Financial Report and FY 2016 Single Audit.



MEMORANDUM

December 2, 2016

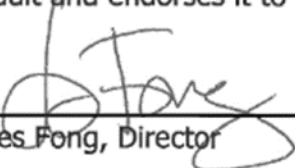
TO: BOARD OF DIRECTORS

FROM: FINANCE AND AUDIT COMMITTEE:
LES FONG
JONI BAUER

SUBJECT: FY 2016 COMPREHENSIVE ANNUAL FINANCIAL REPORT (CAFR) AND FY 2016 SINGLE AUDIT

The Finance and Audit Committee met on Friday, December 2, 2016, to review the FY 2016 Comprehensive Annual Financial Report (CAFR) and FY 2016 Single Audit. Those present were Director Les Fong, Director Joni Bauer, Director of Finance Virginia Alcajde, Finance Supervisor Ravi Sharma, and Executive and Board Support Analyst Nancy Braxton.

The Finance and Audit Committee has reviewed the FY 2016 CAFR and FY 2016 Single Audit and endorses it to the Board for approval.



Les Fong, Director

12/2/16
Date



Joni Bauer, Director

December 2, 2016
Date

We treat our customers, community, and each other with respect, integrity, and loyalty.
We promote a positive work environment by supporting each other through effective communication,
teamwork, and appreciation for our diverse abilities and contributions.

RESOLUTION NO:
DATED: DECEMBER 16, 2016

RESOLUTION TO APPROVE THE FY 2016 COMPREHENSIVE ANNUAL
FINANCIAL REPORT (CAFR) AND FY 2016 SINGLE AUDIT

RESOLVED AND ORDERED by the Board of Directors of the San Joaquin Regional Transit District that the FY 2016 Comprehensive Annual Report and FY 2016 Single Audit, as submitted by Mann, Urrutia, Nelson, CPAs and Associates, LLP be, and hereby is, approved.

STAFF RECOMMENDATION: **AWARD A CONTRACT TO A-Z BUS SALES FOR TWENTY-TWO LOW FLOOR VEHICLES IN A TOTAL CONTRACT AMOUNT NOT TO EXCEED \$3,230,000.00, IN ACCORDANCE WITH THE TERMS AND CONDITIONS OF THE STATE OF CALIFORNIA AND APPROVED COOPERATIVE PURCHASING AGREEMENT WITH MBTA/CALACT**

LEAD STAFF: **SHARON MILLER, DIRECTOR OF PROCUREMENT**

FINANCIAL IMPACT:

\$ 981,054.00	CMAQ
\$ 560,000.00	5310
\$ 706,000.00	Measure K
\$ 982,946.00	5339 (Bus and Bus Facilities)

BACKGROUND

RTD’s current fleet of El Dorado/Starcraft (Hopper) buses are over eight years old with an average over 160,000 miles of use. They are becoming more costly to maintain and, therefore, must be replaced. FTA recommends this type of vehicle be replaced every 5 years or 100,000 miles.

DISCUSSION

RTD staff recommends changing to low-floor vehicles to aid and assist our passengers who use these vehicles, many of whom are elderly or have disabilities. The low floor buses allow easier and safer entrance for our passengers. Passengers no longer need to struggle with bus steps when entering our bus. These vehicles provide a single and accessible entrance-way allowing every passenger, including wheelchair and power scooter riders, easy access. The low-floor buses provide a kneeling feature which further enhances the boarding and alighting of passengers and eliminates the need for the operator to leave his seat to deploy a mobility ramp in the rear of the bus. The buses come equipped with 2 to 3 wheelchair passenger positions and greater seating capacity.

The cost breakdown for the buses is as follows:

Base price per Bus	\$111,054.00
OPTIONS per Bus	\$13,805.00
Additional Tax	\$12,485.00
Total Cost per Bus	\$137,344.00
Possible Hybrid Option	\$11,000.00

RESOLUTION NO. _____
DATED: December 16, 2016

AWARD A CONTRACT TO A-Z BUS SALES FOR TWENTY-TWO LOW FLOOR VEHICLES IN A TOTAL CONTRACT AMOUNT NOT TO EXCEED \$3,230,000.00, IN ACCORDANCE WITH THE TERMS AND CONDITIONS OF THE STATE OF CALIFORNIA AND APPROVED COOPERATIVE PURCHASING AGREEMENT WITH MBTA/CALACT

WHEREAS, RTD's current fleet of Hopper buses are over eight years old and must be replaced;
and

WHEREAS, staff is recommending the purchase of twenty two (22) low-floor vehicles; and

WHEREAS, staff recommends awarding a contract to A-Z Bus Sales for twenty two (22) low-floor vehicles in a total price not to exceed \$3,230,000.00.

NOW, THEREFORE, BE IT RESOLVED AND ORDERED by the Board of Directors of the San Joaquin RTD as follows:

- 1) That a contract be, and hereby is, awarded to A-Z Bus Sales for twenty two (22) low-floor vehicles for a total contract amount not to exceed \$3,230,000.00.
- 2) That the Chair of the Board and the Chief Executive Officer be, and they hereby are, authorized and directed to finalize and execute the contract with A-Z Bus Sales for twenty two (22) low-floor vehicles, in a total contract amount not to exceed \$3,230,000.00, in accordance with the terms and conditions of the State of California approved Cooperative Purchasing Agreement with MBTA/CalAct.
- 3) That the Chair of the Board and the Chief Executive Officer, acting individually, are hereby authorized and directed to execute such other agreements and amendments to this agreement, and to take such other actions as may be necessary or appropriate to carry out in the intent and purpose of this resolution.